# RAMAN MODE-SELECTIVE SPECTROSCOPIC IMAGING OF REDOX STATE IN FMN AND FLAVOPROTEIN

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#### ABSTRACT

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Incubated with silver nanoparticles coated with silica, we investigate the surfaceenhanced Raman scattering (SERS) spectra of flavin monoucleotide (FMN) in different redox states. Good-quality spectra for oxidized and reduced FMN are obtained at different electrochemical potentials, respectively. Dominate Raman mode shifting occurring at 1623/1610, 1567/1550, 1502/1492 belonging to typical redox-sensitive region are observed and discussed, and they show a good consistency with calculations by using the DFT method. We assign the mode at 1500 cm<sup>-1</sup>, composed of N<sub>5</sub>-H bending, N<sub>1</sub>=C<sub>10a</sub> stretching and the asymmetric C<sub>4a</sub>-N<sub>5</sub>-C<sub>5a</sub> stretching, as a spectroscopic indicator for the redox state of FMN, since it shows a significant downshift (1502/1492cm<sup>-1</sup>) and non-linear correlation with potentials when FMN get reduced from the full oxidized state use the electrochemical method. Isolated FMN domain from wide type nNOS is studied with the same experimental approach and performs a similar Raman mode down-shifting as pure FMN, further supporting our conclusion that the mode at ~1500cm<sup>-1</sup> To all my loves; to my lost youth; to a better me

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### INTRODUCTION

In the past over 30 years, surface enhanced Raman scattering (SERS) has been demonstrated to be a significantly powerful analytical technique for the sensitive and selective detection of molecules adsorbed on noble metal nanostructures. The use of SERS for the study biologically important molecules including heme-containing proteins<sup>1</sup>, porphyrins<sup>2</sup>, and highly organized systems such as photosynthetic reaction centers<sup>3</sup> and membrane preparations and photosynthetic bacteria<sup>4</sup>, is now well established.

SERS has been employed to study biological processes for several advantages in electrochemical environments. First, the technique is uniquely high sensitive to molecules adsorbed to the metal nanoparticles. In the current study, we apply sliver nanoparticles coated with silica. The thin silica shells successfully avoid interaction between molecules and the metal surface without reducing the enhancement from metal nanostructures. Second, the metal nanostructures also cause quenching of the fluorescence background helping getting better Raman spectroscopy, which is particularly important for the flavin molecules whose fluorescence is very strong<sup>5</sup>. The fluorescence quenching advantage of SERS is appealing for the study of flavins and flavoproteins since initial attempts to obtain vibrational spectra of flavins using conventional Resonance Raman (RR) are unsuccessful because of interference from the intense free flavin emission at around 530nm<sup>6</sup>. Third, the Raman cross section for water is low, so that SERS can be used to study in aqueous solution<sup>7</sup>. Finally, SERS can provide detailed information about molecules at different redox states accompanying with electrochemical reactions.

Flavins are very versatile coenzymes and play central roles in many oxidation-reduction reactions in living cells. Owing to the isoalloxazine moiety, flavins, including flavin monoucleotide (FMN), flavin dinucleotide (FAD), and riboflavin can participate in both direct two electron and step by step reactions, existing in three redox states: oxidized, semiquinone, and reduced states (Illustrated in Figure1A). The hydride transfer reaction occurring at N<sub>5</sub>- and N<sub>1</sub>-H consecutively and losing nitrogen lone pairs and conjugated  $\pi$  orbital can be probed in Raman spectroscopy. The Flavin Raman spectroscopy has been studied for almost thirty years since Copeland and co-workers first obtained high quality spectra of free flavins<sup>8</sup> and flavoproteins<sup>9</sup> with SERS on colloidal silver. Xu<sup>10</sup> and co-workers study in situ electrochemical SERS for the semiquinone radical using roughened sliver electrodes by controlling PH values of the buffer solution. Zheng<sup>11</sup> and co-workers first report Resonance Raman spectra of fully reduced flavin as well as quantum calculations. However, quantitative comparison mode study between oxidized and reduced flavin is still not clear.

In the present study, we exam the electron transfer process of flavin reduction-oxidation reaction with electrochemical potential control correlated with SERS. FMN molecules are kept at fully reduced or oxidized state by applying more negative or positive potential than the formal redox value with a home-made cell, providing real-time SERS at the same time. We also discuss the typically redox-sensitive region at  $1500 \sim 1600 \text{ cm}^{-1}$  which shows a good consistency with calculated data using the DFT method. Furthermore, we suggest that the mode at  $\sim 1500 \text{ cm}^{-1}$  which is composed of N<sub>5</sub>-H bending, N<sub>1</sub>=C<sub>10a</sub> stretching and the asymmetric C<sub>4a</sub>-N<sub>5</sub>-C<sub>5a</sub> stretching act as a spectroscopic indicator redox states of FMN. This suggestion extends since similar behavior is observed in FMN domain isolated from wide-type Neuronal nitric oxide synthase (nNOS) using the same SERS correlated with electrochemical measurement.

#### EXPERIMENTAL METHODS

### **Materials and Sample Preparation**

Flavin mononucleotide (FMN), AgNO3, sodium citrate, and sodium silicate were purchased from Sigma Aldrich and used as received. Isolated FMN domain from wide-type nNOS was a gift from Dr. D. J. Stuehr (Cleveland Clinic Foundation). Sliver nanoparticles (AgNP) were synthesized by a standard sodium citrate reduction method<sup>12</sup>. To generate ultra thin silica shells, the active sodium silicate solution was added into the sliver colloids<sup>13</sup>. The surface topography of synthesized nanoparticles characterized by STEM could be found in our previous work<sup>14</sup>. The average size of the AgNP @ SiO<sub>2</sub> is ~ 60nm as shown in the Figure 1 inset. FMN solution was diluted to  $5 \times 10^{-9}$  M with buffer solution (40mM EPPS, 10% glycerol, 150mM NaCl, PH=7.6) and incubated with sliver nanoparticles overnight before SERS measurement.

### Surface-Enhanced Raman Measurements and Electrochemical Control

All SERS spectra were recorded by using the home-modified confocal Raman microscope<sup>15</sup> with 30s integration time. A continuous-wave (CW) 488nm argon ion laser was used to excite the sample at approximately 6 mw for SERS. The setups were carefully calibrated using mercury lamp and cyclohexane before Raman measurements with a resolution of 2cm<sup>-1</sup>. A CHI 600C electrochemical workstation was used for performing electrochemical control, equipped with the home-made cell<sup>16</sup> (working electrode: Indium tin oxide (ITO)/glass coverglass; counter electrode: platinum wire; reference electrode: sliver wire). Dry ~40 µL incubating solution containing FMN and sliver nanoparticles on the ITO surface, then a solution of 0.1M NaCl was used as supporting electrolyte in our homemade cell. Cyclic voltammetry was first performed before each Raman measurement. In order to keep the sample at the fully reduced or

oxidized state, a more negative or positive potential than the formal redox value was applied, respectively.

### **Density Functional Theory Calculations**

To analyze the oxidized and reduced form of FMN, geometry optimization and Raman frequency calculations were performed by the density functional theory (DFT) method on B3LYP level with a basis set of 6-31G (d) using the Gaussian 09 package. According to a comprehensive evaluation of Scott and Radom<sup>17</sup>, the obtained frequencies were scaled by a factor of 0.9614. Molecular orbitals were calculated with the same basis set and visualized with Jmol (Jmol: an open-source Java viewer for chemical structures in 3D. <u>http://www.jmol.org/</u>). All calculations were carried out on a vector processor (Ohio Supercomputer Center, Columbus).



Figure 1. (A) Structure and numbering for the isoalloxazine ring of FMN and two-electron reduction processes. (B) A typical SERS spectrum of FMN incubated with AgNp@SiO2 at excitation frequency 448.16nm. A confocal image of a Raman-active dimer of AgNP@SiO2 is shown inset. (C) Cyclic voltammetric curve for FMN (5nM) with 0.1M NaCl solution adsorbed on the AgNP-coupled ITO surface. The Scan Rate = 0.1 v/s.

The gain or loss of an electron dramatically change the vibrational frequencies of the redox-sensitive bonds<sup>6</sup>. In flavin systems, the redox-sensitive bonds are readily identified as  $C_{4a}=N_5$ ,  $C_{4a}-C_{10a}$ , and  $N_1=C_{10a}$  by structural comparison between the fully oxidized and reduced form in Figure 1A. Figure 1B shows the SERS spectrum of oxidized FMN adsorbed on sliver nanoparticles at +0.3V vs. Ag/AgCl when excited with 448nm argon ion laser light. The solution contains  $10^{-9}$  M FMN and 0.1M NaCl at a PH value of 7.6 for which the electrochemical oxidation of FMN occurs at a potential around +0.090V and reduction potential at -0.210V as indicated by cyclic voltammetric curve shown in Figure 1C. The peak currents are proportional

to the square root of the sweep rate. At PH 7.6, the quite broad single peak is probably due to the mixing of the neutral and anion form of the redox species having different reduction potentials<sup>10</sup>. Compared to spectra reports in the literature, FMN exhibits the same SERS spectrum as Riboflavin, showing that (1) band positions and intensities are largely independent of the residue at  $N_{10}^{18}$ ; (2) the high quality SERS spectrum indicate a high fluorescence quenching efficiency with sliver nanoparticles in contrast to quenching with a protein or KI<sup>19</sup>; (3) electrochemical methods successfully control FMN to mainly stay at the fully oxidized state, using direct electron transfer between electrodes and molecules.



**Figure 2.** (A) SERS spectrum of FMN obtained at -0.3V and +0.3V vs. Ag/AgCl in PH 7.6 solution. (B) Calculated Raman frequency for FMN (Red: Reduced State, Black: Oxidized State), using DFT method by Gaussian 09 with B3LYP/6-31G (d) basis functions, scaling factor 0.9614. (C) Raman bands from subtraction (Red: Experiment data, Black: Calculated data).

Based on literature, the SERS spectra of FMN are characterized by a high-frequency region above 1000cm<sup>-1</sup> with characteristic high-intensity modes, and a low-frequency, lowintensity region<1000cm<sup>-118</sup>. The latter contains in- and out- plane vibrations of the ribityl chain or the flavin ring system, which are not analyzed in this study. We first compare the fully oxidized with the fully reduced state of FMN, focusing on the 1700~1000cm<sup>-1</sup> fingerprint region. We apply +0.3V to get the fully oxidized state and -0.3V to get the fully reduced state, since the oxidation potential is +0.090v and the reduced potential is -0.210v according to the CV curve. SERS spectra are recorded when potentials are on. Figure2A shows the SERS spectrum obtained at +0.3V (oxidized) and -0.3V (reduced) vs. Ag/AgCl. The electric stimulus produced direct electron transfer between the electrode and the target molecule, causing changes in SERS spectra correspondingly. In order to compare experimental data with theoretical data, we calculate Raman frequency for both reduced and oxidized FMN using the DFT method, the calculated data shown in Figure 2B. To isolate the shifting bands due to the state changing, a spectrum is obtained by subtracting the oxidized state (+0.3V) from the reduced state (-0.3V), shown in Figure 2C. Black vertical lines are calculated Raman frequency by doing the same subtraction with calculated data in Figure 2B. Comparing the experimental and the calculated data, they show a good consistence and the dominate peaks shifting occur at 1623/1610, 1567/1550, 1502/1492, 1310/1280 and 1267/1267 cm<sup>-1</sup>.

Raman Bands(cm <sup>-1</sup> )		Approvimate Descriptions
Reduced	Oxidized	Approximate Descriptions
1267(1261)	1267(1264)	N1-H, N5-H, C6-H, C9-H,C2=O
1279(1268)	1280(1274)	N5-H, N3-H, C4=O
1497(1493)	1503(1517)	N5-H, vN5-C4a, vN1=C10a, Ring I stretch
1550(1563)	1567(1571)	N5-H, C6-H, C9-H, vN1-C10a-C4a-N5
1610(1609)	1623(1617)	vN1=C10a, C2=O

Table 1. Assignments of Important Raman Bands of FMN in Reduced and Oxidized States

Raman Frequencies shown in parentheses are calculated using density functional theory (DFT) by Gaussian 09 with B3LYP/6-31G (d) basis functions and scaled by a factor of 0.9614.



Figure 3. Normal modes of Raman bands for fully reduced FMN (only show isoalloxazine moiety).

Earlier studies using isotopic substitution and semi-empirical calculation provided assignment of both fully oxidized<sup>20</sup> and fully reduced isoalloxazine ring<sup>11, 21</sup>. On the basis of literature and calculations<sup>22</sup>, our approximate description of the Raman bands are given in Table 1 and a diagram describing the normal modes of fully reduced flavin is given in Figure 3.

The band shift from 1623 to 1610cm<sup>-1</sup> involves the significant contribution from a combination of  $C_2=O$  and  $C_{10a}=N_1$  stretching motion<sup>23</sup>. Protonation at the N<sub>5</sub> and N<sub>1</sub> position changed the double bond between C<sub>10a</sub> and N<sub>1</sub> to single bond, altering the conjugated pathway in  $C_{10a}=N_1-C_2=O$  consistent with a decrease in frequency. Having large isotope shifts for <sup>15</sup>N<sub>5</sub> and  $^{13}C_{4a}$ , the 1584 cm<sup>-1</sup> band is well known to have a major component of the N<sub>5</sub>=C<sub>4a</sub>-C<sub>10a</sub>=N<sub>1</sub> conjugation pathway. Dutta and Spiro demonstrated that the 1584 and 1611 cm<sup>-1</sup> modes of oxidized flavin and semiquinone are the only bands that are observed to be enhanced in the second  $\pi$ - $\pi$ \* transition of these two species<sup>24</sup>. It appears that the molecular distortion in the second  $\pi$ - $\pi$ \* transition specially involves a large displacement along the 1584cm<sup>-1</sup> mode. It also involves a significant contribution of  $C_{10a}=N_1$  stretching. In other words, it strongly involves the  $N_5 = C_{4a} - C_{10a} = N_1$  conjugation pathway, accounting for the large downshift of band at 1584cm<sup>-1</sup> when the conjugation pathway is altered by substitution at position  $8^{23, 25}$ . The 1548cm<sup>-1</sup> band also involves  $C_{4a}=N_5$  and  $C_{10a}=N_1$ stretching. H bonding to either  $N_5$  or  $N_1$  is expected to lower the band frequency as these heteroatoms are at either end of the conjugated double system. Mode at 1539cm<sup>-1</sup> is mainly composed of the  $N_1$ - $C_{10a}$  stretching and ring I symmetric modes<sup>23</sup> and is expected to get strong resonance Raman enhancement due to a large change in polarizability along those symmetric modes. It shows a great downshift from 1594cm<sup>-1</sup> to 1550cm<sup>-1</sup> in our data consistent with the assignment.

The mode at 1498cm<sup>-1</sup> is mainly composed of N<sub>5</sub>-H bending, to which N<sub>1</sub>=C<sub>10a</sub> stretching and the asymmetric C<sub>4a</sub>-N<sub>5</sub>-C<sub>5a</sub> stretching are coupled<sup>26</sup>. Hydrogen bonding definitely alters the electronic structure as predicted by the theoretical calculations<sup>27</sup> and substantiated by the <sup>13</sup>C-NMR observations<sup>28</sup>. Protonation at N<sub>5</sub> slightly weakens C<sub>4a</sub>-N<sub>5</sub> and then protonation at N<sub>1</sub> weakens  $N_1=C_{10a}$ , inducing the downshift from 1502 to 1492cm<sup>-1</sup>. Detailed Raman shifting correlated to potential changing will be discussed later.

Comparison of our SERS spectra recorded at more negative than -0.3v with the fully reduced flavin and semiquinone form of flavin from the literature shows that we obtain the fully reduced form. Mode at 1500cm<sup>-1</sup>~1600cm<sup>-1</sup> is typically the redox-sensitive region. Earlier Raman spectroscopic analysis indicates that the 1625, 1550, and 1500cm<sup>-1</sup> bands are associated mainly with stretching vibration of the isoalloxazine ring I and /or stretching vibration of the C<sub>10a</sub>-N<sub>1</sub> and N<sub>5</sub>-C<sub>4a</sub> that are coupled to the ring I mode.



**Figure 4.** (A) Three different averaged SERS spectrum obtained at -0.5v, +0.2v and +0.3v, respectively. (B) Voltage-dependent SERS spectrum with the mode at 1495 cm<sup>-1</sup> highlighted. (C) The downshift of mode at 1495cm<sup>-1</sup> when the potential changes from +0.3V to -0.5 V.

Comparing the 1500cm<sup>-1</sup> band corresponding to the potential, it is clear that it gradually shifts from 1502cm<sup>-1</sup> to 1492cm<sup>-1</sup>. Each spectrum shown in Figure 4A is the average value from 10 spectra under their specific potential. Three spectra are shown as a zoom-in view of a spectral fluctuation trajectory (Figure 4B) to illuminate the spectra fluctuation evolution profile of redoxsensitive mode at~1500cm<sup>-1</sup> associating with  $C_{10a}$ -N<sub>1</sub><sup>25-26</sup>. In Figure 4C, we continuously apply potential from +0.3V to -0.5V and record the Raman frequency for mode at~1500 from 25 spectra for each potential. The band is not linearly downshifting but shows some fluctuation because: (1) the experiment is not under single-molecule level as our previous work; Raman frequency here are showing the dominate states for multiple molecules; (2) At PH=7.6, cation or anion may both occur in solution and the flavin may have semiquinone form and broaden the Raman peak; (3) Delocalization of electrons at the highly conjugated region  $N_5$ - $C_{4a}$ - $C_{10a}$ - $N_1$  as described above cause fluctuation of the spectra. Comparing calculated HOMO orbitals for FMN and FMNH<sub>2</sub> shown in Figure 5, we observe that the electron density changes dramatically in N<sub>5</sub>-C<sub>4a</sub>-C<sub>10a</sub>-N<sub>1</sub>. Besides, we notice that the 1500cm<sup>-1</sup> band shows slightly up-shifting when potential changes from -0.1V to -0.2V, indicating that  $N_1=C_{10a}$  becomes stronger once  $N_5$  gets protonation, and after that it performs down-shifting because the molecule becomes fully reduced and turns to be much weaker on N<sub>1</sub> protonation. Furthermore, bands at 1500, 1410, and 1355cm<sup>-1</sup> are also empirical markers on the flavin ring in different dielectric environment<sup>25</sup>.



**Figure 5.** Calculated HOMO orbitals for FMN and FMNH2, visualized with Jmol (Jmol: an open-source Java viewer for chemical structures in 3D. <u>http://www.jmol.org/</u>)

### Surface Enhanced Raman Spectra of FMN domain from nNOS

Nitric oxide synthases (NOSs) are now well-known enzymes for producing NO, which is identified as an essential regulator in mammalian biology. Containing two monomers associated with two calmodulins (CaM), they are tetramers including FAD, FMN, iron protoprphyrin (heam) and cofactors (6R)-5, 6, 7, 8-tetrahydrobioprotein (BH<sub>4</sub>)<sup>29</sup>. Based on different genes' products, locations, catalytic properties and inhibitor sensitivity, NOS have three mammalian isoforms: neuronal, endothelial and inducible NOS (nNOS, eNOS and iNOS, respectively). Activated by calmodulin (CaM), NO is synthesized by NOS in a reaction of L-arginine, NADPH and oxygen to citrulline and NADP<sup>30</sup>, where the conformation of the FMN domain changes from its shielded electron-accepting state to a new electron-donating state<sup>31</sup>. In the two states, the FMN domain is engaged in distinctly different interdomain interactions and the module swings back and forth to contact the NADP<sup>+</sup>-FAD reductase module and the NOS heme domain<sup>32</sup>. However, the mechanisms by which the FMN domain functions in the NOS catalysis and the electron transfer are coupled to substrate oxidation are unclear and remain a major challenge in current NOS

study<sup>33</sup>. In this work, we probe the redox states of FMN molecule in FMN domain/CaM complex isolated from wide-type nNOS by a combined SERS spectroscopy and electrochemical control approach. Since there are still no available crystal structures for isolated nNOS-FMN domain, we adopt a human iNOS-FMN domain to illustrate the basic structure information shown in Figure 6.



**Figure 6.** Crystal structure of a human iNOS FMN domain/CaM complex (PDB 3HR4). The FMN domain is yellow, and the CaM-binding linker between the FMN and heme domains is blue. The CaM molecule is red, and  $Ca^{2+}$  ions are green spheres.

We prepare the nNOS-FMN domain sample with the same buffer solution and same method as described above. After applying cyclic voltammetryshown in Figure 7A, we get reduction potential (-0.236v) and oxidation potential (+0.072v), and they are very close to values obtained with pure FMN molecules (reduced: -0.210v, oxidized: +0.090v, respectively), revealing a good electronic communication between FMN domain and the electrode. In Figure 7B, comparing SERS spectra obtained at the fully reduced (-0.5v) and the oxidized (+0.5v) state, we observed dominant peaks shifting at1550/1535cm<sup>-1</sup>, 1501/1496, which belong to the typical redox-sensitive region. 1550cm<sup>-1</sup> is mainly composed of the N<sub>1</sub>-C<sub>10a</sub> stretching and ring I symmetric modes<sup>23</sup>, and it is expected to get strong resonance Raman enhancement due to a large change in polarizability along those symmetric modes.  $1500 \text{cm}^{-1}$  contains N<sub>5</sub>-H bending, coupled with N<sub>1</sub>=C<sub>10a</sub> stretching and the asymmetric C<sub>4a</sub>-N<sub>5</sub>-C<sub>5a</sub> stretching. Protonation at N5 slightly weakens C<sub>4a</sub>-N<sub>5</sub> and then protonation at N<sub>1</sub> weakens N<sub>1</sub>=C<sub>10a</sub>, inducing the downshift. Plotting the Raman band position averaged from 25 successive spectra at ~1500cm<sup>-1</sup> in Figure 7C, it showing similar downshift when potential change from +0.3v to 0.5v, consistent with our conclusion that downshifting at ~1500cm<sup>-1</sup> indicates redox states of FMN even in the protein environment. Also, we notice that the 1500cm<sup>-1</sup> band shows slight upshifting when potential changes from +0.1V to 0V, indicating that N<sub>1</sub>=C<sub>10a</sub> becomes stronger once N<sub>5</sub> gets protonation, and after that it performs down-shifting because the molecule becomes fully reduced and becomes much weaker on N<sub>1</sub> protonation.



**Figure 7.** (A) Cyclic voltammetric curve for the nNOS FMN domain/CaM complex (5nM) with 0.1M NaCl. The Scan Rate = 0.1 v/s. (B) SERS spectrum for the nNOS FMN domain/CaM complex from the experiment at +0.3v and -0.5V, respectively. (C) The downshift of the mode at  $1495 \text{ cm}^{-1}$  when the potential changes from +0.3V to -0.5 V.

### CONCLUSIONS

To study the electron transfer process of flavin reduction-oxidation reaction, we apply a electrochemically potential control method correlated with surface enhanced Raman scattering. Our results reveal that FMN molecules are efficiently kept at reduced or oxidized state at specific potentials and provide real-time SERS imaging. We have observed and discussed dominate peak shifting occurring at 1623/1610, 1567/1550 and 1502/1492 cm<sup>-1</sup>, belonging to the typical redox-sensitive region according to the literature, which are all assigned to the electron transfer reaction parts in the isoalloxazine ring. Our experimental Raman modes show a good consistency with calculated data using DFT method. Different from traditional redox state marker mode~1584 cm<sup>-1</sup>, we suggest that the Raman mode at ~1500cm<sup>-1</sup> acts as an indicator of redox states for FMN, since it shows significant downshift from ~1502cm<sup>-1</sup> to 1492cm<sup>-1</sup> and non-linear correction with potentials when FMN gets reduced from oxidized states electrochemically, even in isolated NOS domain containing FMN.

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